



Title: **Strategic Risk Management Quarter 3 2013/14**

Wards Affected: **All Wards**

To: **Audit Committee**

On: **19 March 2014**

Key Decision: **No**

Change to Budget: **No**

Change to Policy Framework: **No**

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## **1. Key points and Summary**

- 1.1 This report outlines the position at quarter three with regards to the Strategic Risk Register
- 1.2 Five Key Strategic Risks have been identified for the Council during 2013/14. A lead (sponsoring) director/executive head has been identified for each risk.
- 1.3 Progress on agreed mitigation has been updated on a quarterly basis
- 1.4 During March 2014 an on-line training module will be developed and deployed on the Council's I-Learn online learning portal and further work will be completed to integrate the strategic register with operation risk

**For more detailed information please refer to the supporting information attached.**

**Mark Bennett**  
**Head of Business Services**

## Supporting Information

### A1. Introduction and history

A1 Five Key Strategic Risks have been identified for the Council during 2012/13; A lead (sponsoring) director/executive head has been identified for each risk:

Fair decision making – Mark Bennett

Demand management – Caroline Taylor

Welfare reforms – Charles Uzzell

Finance – Paul Looby

Safeguarding Richard Williams

### B2 Position at Quarter 3

B1 Mitigation measures have been identified for all strategic risks. Current effectiveness has been assessed against a four point scale:

Will achieve desired outcomes

Minor improvement actions - monitor

Improvement actions - monitor with concern

Won't achieve desired outcomes

A
B
C
D

B2 The majority of mitigation measures have been assessed as A or B with no risks identified with category D

### B3 Performance overview

#### 1 Fair Decision making

- Actions have been identified to minimise the possibility of a successful legal challenge against the council's decision to reconfigure services.
  - During the year the council has not incurred costs or delays in reconfiguring its services through legal challenge. Key elements in achieving this has been;
- The council constantly reviews developing court cases from other areas to ensure that its processes remain fit for purpose with regular reports to the Senior leadership Team

## 2 Demand management

- Actions have been identified to enable the Council to understand and plan for changes in demand for through demographic change and changes in government policy
- Analysis of government policy changes continue to be reported to SLT with an improved identification of actions require, together with reports to members at IEG meetings
- Further mitigations measure have been identified including
  - Further Integration Health & Social Care Provision
  - Continuation of Public Health Integration including Children's Public health
  - Development of Resilient Communities
  - Deliver of new governance arrangements required through Health Reforms
  - Improved outcomes for children and families to reduce the number of high cost interventions

## 3 Welfare reforms

- Actions have been identified to enable the Council to understand and develop actions to mitigate the impact of benefit reforms being introduced during 2013/14

## 4 Finance

- Actions have been identified to enable the Council to deliver a balanced budget with an agreed level of reserves

## 5 Safeguarding

- Children's Safeguarding has been a particular focus for the council in recent years with effective measures in place at an operational at strategic level to address this issue The Council is no longer in intervention following the recent Ofsted inspection..

## Conclusion

- The development of a new approach has enabled the council to take a more strategic approach to risk management during 2013 /14. Future focus will be to further develop this approach and embed it within the organisation during 2014/15